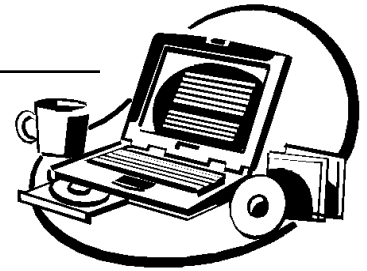


BSA/AML Compliance

Webinar • July 22, 2010, 1:30-3:30 p.m.



Are you looking for an efficient, focused update on BSA Compliance? You've found it! This program emphasizes common BSA violations and important developments in the preceding 12- 18 months including the recent BSA/AML Manual revisions.

Ongoing Bank Secrecy Act (BSA) training is a legal requirement. For the experienced BSA Officer or Auditor, that training needs to focus on recent developments and reminders regarding key issues. This two hour session is designed for that group.

Why Participate?

No other federal regulation contains more stringent, enforceable training requirements than those relating to BSA compliance. Even experienced personnel are expected to maintain their knowledge level with ongoing education. However, sometimes sitting through a full day BSA program leaves experienced personnel hoping for "knowledge nuggets" between the reviews of long-standing requirements. This program makes that continuing education requirement more efficient and effective. It focuses on specific pulse points in BSA compliance. However, the manual is approximately 140 pages long and includes a full review of BSA requirements.

Highlights

This presentation emphasizes common violations and important developments in the preceding 12-18 months. Registrants may submit questions and suggested areas of emphasis prior to the program. Currently, the issues where emphasis is expected include:

- A variety of compliance issues that are common errors or problems
- The BSA/AML Manual Revisions; which changes directly affect your institution
- Identifying beneficial owners
- Possible expansion of the list of entities submitting names under the 314(a) process
- Common problems with technical BSA compliance requirements; e.g. CTR filing, SAR filing, 314(a) compliance
- Status of proposed changes to SAR confidentiality regulations
- Status of regulations regarding stored value cards
- Electronic filing update
- Using FinCEN's CTR Educational Pamphlet
- Using SAR Activity Review information
- Official Guidance on SARs regarding loan modification and foreclosures
- Official Guidance on 314(b) Information Sharing
- Using the risk assessment as your primary internal control
- Recent developments regarding provision of financial services to MSBs

Presenters

Ken Gollhofer is a principal with Pegasus Educational Services, LLC. Prior to becoming a full time trainer, he was a community banker and then General Counsel for a regional consulting firm for financial institutions. He presents schools and seminars in over 25 states and has served on Compliance Schools sponsored by the OTS and the FDIC.

Audience

Experienced BSA officers and auditors. The program is not designed as a general review or to meet the needs of line personnel.

CE Applied: 2.5 hrs. CRCM/CFSSP/CPB/CCSR with the ICB

What is a Webinar?

A webinar is an online seminar featuring live audio and PowerPoint slides. Everything is delivered through your PC. No special hardware is needed; however, speakers or headphones are required. The program consists of 90 minutes instruction and 30 minutes live Q&A. Each webinar registration provides 1 connection to the live webinar, written materials and access to the On-Demand Webinar for 30 days following the broadcast. You may have unlimited listeners on your connection by PC. You will receive a PIN, written materials and instructions prior to the seminar. If you do not receive a confirmation at least 2 days prior to the event call 888-262-7701.

Transmission, retransmission or republishing of the audio portion of the seminar is prohibited. Your registration entitles you to 1 connection at 1 location with permission to copy materials for participants. Complete one registration per location.

What is an On-Demand Webinar?

A On-Demand Webinar is a live webinar that has been recorded and then streamed to your computer to watch at your convenience. The program can be viewed any time 24/7. You can watch a portion of the program, come back and pick up where you left off! You may also review the program at will if you need a refresher. Anyone at your branch may access the program from a computer using the login and password. When you purchase an On-Demand Webinar, you have unlimited access to the program for 6 months from date of purchase..

BSA/AML Compliance

Web Seminar • July 22, 2010, 1:30-3:30 p.m.

CE Applied: 2.5 hours CRCM/CFSSP/CPB/CCSR

Check all appropriate boxes

Seminar Code: SW2-1120

Webinar/materials (live hookup)	<input type="checkbox"/> \$225	Nonmember	<input type="checkbox"/> \$275
On-Demand Webinar/materials*	<input type="checkbox"/> \$245	Nonmember	<input type="checkbox"/> \$295

Name _____ Title _____

Bank _____

Street Address _____

Cty/State/Zip _____

Phone/Fax _____

E-mail _____

Three Ways to Register:

Online: Visit iowabankers.com (Calendar of Events)

Phone: Call 888-262-7701 with credit card information

Mail: Bankers Ed, 5700 S. Mopac, #C310, Austin, TX 78749

Late Registration: Please register online when registering 2 days prior to the event (credit cards & e-Checks accepted). Call 888-262-7701 for help.

Method of Payment (check one): Check made payable to Bankers Ed

Discover Card MasterCard VISA AMEX

Card Number _____ Security Code _____

Signature _____ Exp. Date _____

Seminar Content Questions: Contact IBA education department at (800) 532-1423.